

DEPARTMENT OF CHARITABLE GAMING

101 N. 14th Street, 17th Floor, James Monroe Building, Richmond, VA 23219-3684 (804) 786-1681

www.dcg.virginia.gov

SUPPLIER REGISTRATION CERTIFICATE APPLICATION

General Instructions

- Use this application when applying for either a new or renewal certificate.

 Complete the entire application and all attachments. Allow 90 days for processing a **COMPLETE** application.
- Place "N/A" if item is not applicable. Please type or print all answers. Do not use pencil.

4. 5. 6. 7.	If needed, attach additional doculenclose a non-refundable \$500 and Ensure application is signed/date Retain a copy for your records.	application fee payable to: Tr	_	
		APPLICA	NT INFORMAT	ION
1.	Application Type:	New	Renewal	
	Service Provided:	Manufacturer	Distributor	Sales/Supplier
2.	Full Business Name:			
	Corporate Mailing Address:			
	City:	State:	Zip:	Telephone: ()
	Facsimile No.: ()		Fed. Tax Id No.:	
3.	Corporation's Physical Add	dress:		
	City:	State:	Zip:	Telephone: ()
	Contact Person:		Title/Position:	
	Contact Person's Daytime	Telephone No.: (_) F	Facsimile No.: ()
		E-Mail:		
4.	Type of Business:	Corporation	Partnership	Limited Liability Company
		Sole Proprieto	rship	
		Other	Explain:	
		VIRGINIA REG	SISTERED AGE	NT
5.	Name of Virginia Register	ed Agent:		
	Mailing Address:			
	City	Stato	7in:	Talanhana: ()
		State:	Zip:	Telephone: ()
	Facsimile No.: ()		Email Address:	
6.	Please provide a Virginia (Copy Yes No

	l	PREMISES INF	FORMATION	
	t the location of the principal plantmation a separate page and attach.)	ace of business, inclu	ding any and all subsidiaries.	If more space is needed, write the
a.	Full Name of Business:			
	Business Address:			
			State:	
	Official Jurisdiction (County	of/City of):		
	Date Incorporated:		State of Incorporation:	
	Telephone: ()		Web Page:	
	Facsimile No.: ()		Email Address:	
b.	Subsidiary Location:			
	Business Address:			
			State:	
			State of Incorporation:	
	Telephone: ()		•	
	Facsimile No.: ()		_	
	1 dosimile 140 ()	BUSINESS IN		
per	•	your license number	old a license to sell gaming sup, and a copy of each license for tional states.	
	State		Date of	
a.	Where Licensed		Original Permit	
	Date Last Permitted		License Number	
b.	State Where		Date of Original	
	Licensed		Permit	
	Date Last Permitted		License Number	
C.	State Where		Date of Original	
	Licensed Date Last		Permit License	

		BUSINESS INFO	ORMATION (Conf	tinued)		
9.		e you attached a copy of your license for each ring charitable gaming supplies for sale?	state where are you		Yes	No
10.	licer or th	eviously licensed in Virginia or <u>ANY</u> State, wanse of any subsidiary ever suspended or revokene application of a subsidiary denied or withdrailed explanation for any denial, revocation adrawal, and attach any and all related documents.	ed, or your application awn? <i>If yes, attach a</i> , suspension or		Yes	_ No
11.	Plea	ase provide a list of at least three credit referen	ices.	List Attached?	Yes	No
12.	Туре	e of Product Provided: Instant Bingo/Pr	ull-Tabs Bingo	o Paper/Supplie	es	
		_	Devices Pull-			
13.	and	location where any and all business records of all subsidiaries are maintained. Please provi	ide any additional			_ No
	a.	Full Name of Business:				
		Contact Person: Name	Teleph	none: () _		
		Business Address:				
		City/Town: County:		State:	Zip:	
		Official Jurisdiction (County of/City of):				
		Telephone: ()	Web Page	:		
		Facsimile No.: ()	Email Add	ress:		
	b.	Subsidiary Location:				
		Contact Person: Name	Teleph	none: () _		
		Business Address:				
		City/Town: County: _		State:	Zip:	
		Official Jurisdiction (County of/City of):				
		Telephone: ()		:		
		Facsimile No.: ()		ress:		
14.	sepa bing the	the name and physical address (which includerate location of your business, and any and a posupplies, devices or equipment that you interprincipal contact and telephone number. Inclustion: (Attach additional sheet if necessary.)	Il subsidiaries, at which and to offer for sale in th de the main office locat	warehousing, se State of Virgi	selling or pr nia takes pl	omoting of ace, including
	a.	Principal Contact Name (Print):		Telephone: (_)	
		Physical Address:				

	BUSINESS INFORMATION (Continued)				
	b.	Principal Contact Name (Print): Physical Address:		Telephone: () _	
	C.	Principal Contact Name (Print): Physical Address:		Telephone: () _	
	d.	Principal Contact Name (Print): Physical Address:		Telephone: () _	
15.		ame of individual(s) and/or company . Use additional sheet if necessary		List Yes	No
	a.	Individual Name/Business Name:			
		Contact Person: Name	·	Telephone: ()	
		Business Address:			
		City/Town:Co	ounty:	State: Zip	D:
		Official Jurisdiction (County of/City of	of):		
		Telephone: ()	Web	Page:	
		Facsimile No.: ()	Ema	il Address:	
	b.	Individual Name/Business Name:			
		Contact Person: Name		Telephone: ()	
		Business Address:			
		City/Town:Co	ounty:	State: Zip):
		Official Jurisdiction (County of/City of	of):		
		Telephone: ()	Web	Page:	
		Facsimile No.: ()	Ema	il Address:	
16.		financial forms preparer listed under erson a licensed certified public accor		ual, is Yes	No
	-	, please identify the state or states then is licensed in:	ne above referenced stan de p	license in good ding for each state signated? If not, Yes lease explain on dditional sheet.	No

		BUSI	NESS INF	ORMATI	ON (Continued)	
17.		h a list of any and all banks a unt number, utilized by the ap			along with the applicable bank/fir ional sheet if necessary.)	nancial institution
	a.	Name of Bank:			Account Number:	
		Address:				
		City:	State:	Zip:	Telephone No. ()
		Contact Person: Name			Telephone: ()	
	b.	Name of Bank:			Account Number:	
		Address:				
		City:	State:	Zip:	Telephone No. ()
		Contact Person: Name			Telephone: ()	
18.	Distributors: List any and all manufacturer(s) of gambling products distributed by the applicant. (Please use additional sheet if necessary.)				t. (Please use	
	a.	Individual Name/Business Name:				
		Contact Person: Name			Telephone: ()	
		Business Address:				
		City/Town:	County:		State: 2	Zip:
		Type of Product Manufactur	ed:			
		Telephone: ()			Web Page:	
		Facsimile No.: ()			Email Address:	
	b.	Individual Name/Business Name:				
		Contact Person: Name			Telephone: ()	
		Business Address:				
		City/Town:	County:		State: 2	Zip:
		Type of Product Manufactur	ed:			
		Telephone: ()			Web Page:	
		Facsimile No.: ()			Email Address:	

PERSONNEL INFORMATION

11 VAC 15-31-20 of the Supplier Regulations provides that no Registration Certificate can be issued prior to a reasonable investigation conducted by the Department of Charitable Gaming. The following information is required to conduct a background investigation. Individuals designated below hereby authorize the Department of Charitable Gaming to investigate all matters relating to this application, and each individual designated below hereby waives any rights or causes of action they may have based upon the disclosure of otherwise confidential information.

Complete the following information for <u>ALL officers</u>, <u>directors</u>, <u>partners or owners with a 10% or greater</u> ownership interest. Provide <u>complete</u> information. <u>FULL PROPER NAMES</u> must be listed and include: first name, middle name and last name -- <u>applications with initials will cause a delay in processing</u>. If an individual has no middle name, then insert "NMN". Use the "Position Codes" listed below to designate each title. Omitted information will delay the application process. *Attach additional sheet(s), if necessary.*

Position Codes:	(P) President	(VP) Vice President	(S) Secretary	(T) Treasurer
Full Name:			Position	on:
First Name	Middle Name	Last Name		
Social Security No		Date of Birth:	Race:	Sex:
	ist street address. PO Box		Day P	Phone: ()
City:			Fax N	o: ()
Home Phone No. ()		State	Zip Code
E-Mail Address:				
<u> </u>	hip of applicant or any	designated subsidiaries	(list	%
Full Name:			Positio	on:
First Name	Middle Name			
Social Security No		Date of Birth:	Race:	Sex:
Home Address:			Day P	Phone: ()
	ist street address, PO Box	will not be accepted)		
City:			Fax N	o: ()
Home Phone No. ()		State	Zip Code
E-Mail Address:				
<u> </u>	hip of applicant or any	designated subsidiaries	(list	%

	PERSONNEL INFORMATION (Co	ntinued)	
Eull I	Namo:	Position:	
i uii i	Name:	1 OSITIO11	
Soci	al Security No Date of Birth:	Race:	Sex:
Hom	ne Address:	Dav Phone: ()
	(Must list street address, PO Box will not be accepted)	,	
City:		Fax No: ()
Hom	ne Phone No. ()		Zip Code
E-Ma	ail Address:		
	entage of ownership of applicant or any designated subsidiaries (list idiaries):		%
Full	Name:	Position:	
	First Name Middle Name Last Name		
Soci	al Security No Date of Birth:	Race:	Sex:
Hom	ne Address:	Day Phone: (_)
	(Must list street address, PO Box will not be accepted)		
City:		Fax No: ()
Hom	e Phone No. ()	State	Zip Code
E-Ma	ail Address:		
	entage of ownership of applicant or any designated subsidiaries (list sidiaries):		%
	For Questions Nos. 20 through 36, check eit	her "Yes"	or "No".
20.	List any and all representatives/agents or immediate family members of the applicant and any and all subsidiaries who will directly market your products to Virginia licensed charitable gaming organizations.	List Attached?	Yes No
21.	For each person identified in Item No. 20, please list whether or not the persons designated participate in any way in the management, operation or conduct of charitable gaming activities? If yes, please list specifics on a separate page.	If yes, please explain on separate page.	Yes No

	PERSONNEL INFORMATION (Co	ntinued)		
22.	For each person identified in Item No. 20, please list any and all interests that any individual designated has in any real estate utilized by a charitable gaming organization. If yes, please list name, address and telephone number of designated employee, and location of property upon which they have an interest, how the property is titled, and if the facility is leased, attach a copy of the lease.	If yes, please explain on separate page.	Yes	No
23.	Does any officer, director, partner, principal or owner with 10% or greater interest, or members of the immediate family (hereinafter referred to as a spouse, parent, children, brother, sister, stepparent, stepchildren, or members of the same household) participate in the management, operation or conduct of charitable gaming?	If yes, please explain on separate page.	Yes	No
24.	Does any representative, agent/employee or immediate family member of the applicant and/or subsidiaries participate in the management, operation or conduct of charitable gaming activities?	If yes, please explain on separate page.	Yes	No
25.	Is any officer, director, partner, principal, owner, members of the immediate family of the applicant with 10% or greater interest, or any and all subsidiaries, agents/employees, a principal in any other charitable gaming supply company?	If yes, please explain on separate page.	Yes	No
26.	Does any officer, director, partner, principal, owner, members of the immediate family with 10% or greater interest, agents/employees have any financial or ownership interest in any other gambling/gaming activity or enterprise?	If yes, please explain on separate page.	Yes	No
27.	Has the applicant, any officer, director, partner, principal owner or immediate family member of the applicant with 10% or greater interest, any and all subsidiaries, agents/employees, ever been issued a gambling/gaming license by any other agency?	If yes, please explain on separate page.	Yes	No
28.	Has the applicant, any officer, director, partner, principal owner or immediate family member of the applicant with 10% or greater interest, any and all subsidiaries, agents/employees, ever been associated with an applicant or any and all of their subsidiaries for a gambling/gaming license which has been revoked, cancelled, surrendered, suspended or whose application for such license has been denied or withdrawn?	If yes, please explain on separate page.	Yes	No
29.	Has the applicant, any officer, director, partner, principal owner or immediate family member of the applicant with 10% or greater interest, any and all subsidiaries, agents/employees, ever been (except for traffic violations): indicted, arrested, bond revoked, charged, tried, convicted, court martialed, pled guilty, pled no contest, or had any criminal record expunged related to any crime in Federal court, any State court, the District of Columbia, or any territory of any country?	If yes, please explain on separate page.	Yes	No

	PERSONNEL INFORMATION (Cor	ntinued)		
30.	Has the applicant, any officer, director, partner, principal owner or immediate family member of the applicant with 10% or greater interest, any and all subsidiaries, agents/employees, ever filed for or been involved in a bankruptcy matter (other than as a creditor)?	If yes, please explain on separate page.	Yes	No
31.	Is the applicant, any officer, director, partner, principal owner or immediate family member of the applicant with 10% or greater interest, any and all subsidiaries, agents/employees currently a known party to any criminal complaint or investigation?	If yes, please explain on separate page.	Yes	No
32.	Is the applicant, any officer, director, partner, principal owner or immediate family member of the applicant with 10% or greater interest, any and all subsidiaries, in the past seven years, a named party to a civil lawsuit, either individually or as a corporate representative, or is there a civil matter currently pending?	If yes, please explain on separate page.	Yes	No
33.	Was the purchase or startup of the applicant business a cash transaction (including cash from loans)? Provide narrative statement as to original source of cash.	Copy attached?	Yes	No
34.	Does any individual listed in the Personnel Section have an option to purchase any share of the business (5% or more)? If yes, please provide details including names and terms of the option.	If yes, please explain on separate page.	Yes	No
35.	Does any individual or entity other than the applicant or any and all subsidiaries own the land, building(s), equipment, or any other assets used by the applicant? Provide full details including owner name, item(s) and terms.	If yes, please explain on separate page.	Yes	No
36.	Has the applicant, any officer, director, partner, principal owner or immediate family member of the applicant with 10% or greater interest, any and all subsidiaries, agents/employees, paid or has knowledge of, in the past or present, any form of compensation in the form of a rebate, gratuity, sales commission, or other consideration of value, to any owner, operator or landlord of a bingo facility, for purposes of allowing the sale of the applicants gaming supplies, products or services utilized by a licensed charitable gaming organization? If yes, please list the name of the person who received the consideration, the address and telephone number of the person who received consideration, the name and address of the licensed charitable gaming organization, the amount of the consideration, the date of consideration, and the type/form of consideration.	If yes, please explain on separate page.	Yes	No

	PERSONNEL INFORMATION (Concluded)				
37.	Report any and all contributions made to any and all charitable organizations in the Commonwealth of Virginia for the past two calendar years. Use separate sheet as attachment if more space is needed.	Is additional Yes No sheet attached?			
(a)	Organization Name:	Amount: \$			
	Mailing Address:	Date of Donation:			
(b)	Organization Name:	Amount: \$			
	Mailing Address:	Date of Donation:			
(c)	Organization Name:	Amount: \$			
	Mailing Address:	Date of Donation:			
(d)	Organization Name:	Amount: \$			
	Mailing Address:	Date of Donation:			

Send completed application to:

Virginia Department of Charitable Gaming, 101 North 14th Street, James Monroe Building, 17th Floor, Richmond, Virginia 23219.

Please allow 90 days for processing a complete application. Incomplete information will delay processing.

INCLUDE A \$500 NON-REFUNDABLE CHECK PAYABLE TO:
TREASURER OF VIRGINIA

SIGNATURES/NOTARY

A majority owner, or each individual partner or corporate officer listed on the application must sign this application. Each signature must be notarized. You may copy the signature/notary information contained in this section if you require additional space for additional applicant information.

I declare under the penalties of false swearing and or tampering we certificate granted pursuant hereto, that I am the applicant or duly mailing this application and that the answers contained in said application that the been examined by me and that the matters and the have read each page of this application. I understand if this applicate subject to the criminal penalties of the Code of Virginia and/of to the application. I also agree that the organization listed on this will abide by all of the rules and regulations of the Virginia Depart of the Code of Virginia of Chapter 31 of Supplier Regulations	plication, including any accompanying information or nings set forth herein are true, correct and complete. I cation or attachments contain false information, I/we or revocation of any supplier certificate granted pursuant application and its officers, members and employees rtment of Charitable Gaming pursuant to Article 1.1.:1
Print Name	Signature
Position/Title	 Date
NOTARY PU	IBLIC
State of	
County/City of	
,bei	ing duly sworn, if for himself/herself, deposes and
says, and that he/she is the applicant above names; or that he above named corporation; that he/she has read the foregoing the contents thereof, and that all matters and things therein sknowledge, information and belief.	e/she of the g application and attachments and he/she knows
Print Notary Name	Notary Signature
Seal:	My Commission Expires:

SIGNATURES	S/NOTARY (Continued)
Print Name	Signature
Position/Title	Date
NOTAR	Y PUBLIC
State of	
County/City of	
	,being duly sworn, if for himself/herself, deposes and that he/she of the egoing application and attachments and he/she knows erein set forth is true and correct to the best of his/her
Print Notary Name	Notary Signature
Seal:	My Commission Expires:
Print Name	Signature
Position/Title	Date
	Y PUBLIC
State of County/City of	
says, and that he/she is the applicant above names; or	,being duly sworn, if for himself/herself, deposes and that he/she of the egoing application and attachments and he/she knows erein set forth is true and correct to the best of his/her
Print Notary Name	Notary Signature
Seal:	My Commission Expires:

DEPARTMENT OF CHARITABLE GAMING USE ONLY Date Received: ______ Approval Date: _____ Denial Date: _____ Permit Number: _____